

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Patent Number : 7,953,663 Confirmation No.: 5822  
Application Number : 10/654,091  
Applicant : Jim LeKACHMAN  
Filed : September 4, 2003  
Title : SYSTEM AND METHOD FOR FINANCIAL INSTRUMENT PRE-  
QUALIFICATION AND OFFERING  
TC/Art Unit : 3694  
Examiner: : Hai TRAN  
  
Docket No. : 47004.000216  
Customer No. : **21967**

**MAIL STOP CERTIFICATE OF CORRECTION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

**Request For Certificate Of Correction Of Patent Under 37 C.F.R. 1.322**

Sir:

It is respectfully requested that a Certificate of Correction be issued in the above-identified patent. Attached is a Certificate of Correction Form PTO/SB/44, and it is requested that this form be certified by the Commissioner.

Patentee respectfully submits that the Utility Patent Application Transmittal, which accompanied the application filing on September 4, 2003, identified that the application for the above-referenced patent claims benefit of provisional application No. 60/407,696 filed September 4, 2002.

Applicant's claim of priority to the previously-filed applications was noted on the Official Filing Receipt, a copy of which is submitted herewith.

If any other fees are determined to be due for the entry of this Certificate of Correction, the Commissioner is hereby authorized to charge such fees to the undersigned's Deposit Account No. 50-0206.

Respectfully submitted,

HUNTON & WILLIAMS LLP

Dated: June 6, 2011

By: \_\_\_\_\_

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## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 7,953,663

APPLICATION NO.: 10/654,091

ISSUE DATE : May 31, 2011

INVENTOR(S) : James J. LeKachman

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

**\*\*CONTINUING DATA**

This appln claims benefit of 60/407,696 09/04/2002

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Hunton & Williams LLP  
1900 K Street, N.W.  
Washington, DC 20006

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



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Bib Data Sheet

CONFIRMATION NO. 5822

<b>SERIAL NUMBER</b> 10/654,091	<b>FILING OR 371(c) DATE</b> 09/04/2003 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3693	<b>ATTORNEY DOCKET NO.</b> 47004.000216
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**APPLICANTS**  
 James J. LeKachman, Greenville, DE;

**\*\* CONTINUING DATA \*\*\*\*\***  
 This appln claims benefit of 60/407,696 09/04/2002

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED**  
 \*\* 11/26/2003

Foreign Priority claimed 35 USC 119 (a-d) conditions met Verified and Acknowledged	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Examiner's Signature: <i>[Signature]</i> Initials: <i>KL</i>	<b>STATE OR COUNTRY</b> DE	<b>SHEETS DRAWING</b> 4	<b>TOTAL CLAIMS</b> 31	<b>INDEPENDENT CLAIMS</b> 4
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**ADDRESS**  
21967

**TITLE**  
System and method for financial instrument pre-qualification and offering

<b>FILING FEE RECEIVED</b> 1162	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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